

Atty. Dkt. No. 062834-0113

01-19-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George H. HOFFMAN, et al.

Title:

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR

BENCHMARKING IN A SUPPLY CHAIN MANAGEMENT

FRAMEWORK

Appl. No.:

09/834,600

Filing Date:

04/13/2001

Examiner:

Unassigned

Art Unit:

2122

RECEIVED

OCT 2 1 2002

Technology Center 2100

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Commissioner for Patents Box PATENT APPLICATION Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

RELEVANCE OF EACH DOCUMENT

The foregoing documents were cited in the International Search Report of Applicants' International Application PCT/US02/08287. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

William T. Ellis

Attorney for Applicant Registration No. 26,874

Date: October 16, 2002

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Date Submitted: October 16, 2002 (use as many sheets as necessary)				First Named Inventor	George H. HOFFMA	2 3	
				Group Art Unit	2122	C. SE	
				Examiner Name	Unassigned	RADEMARK	
Sheet	1	of	1	Attorney Docket Number	062834-0113		

			U.S. PATENT DOCUMENTS	3	
Cite No.1	U.S. Patent Document		·	Date of Publication of	Pages, Columns, Lines, Where Relevant
	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
A1	5,950,173		PERKOWSKI	09-07-1999	
A2	6,084,528		BEACH et al.	07-04-2000	CEIVED
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	No. ¹	Cite No.1 Number A1 5,950,173	Cite No.1 Number Kind Code² (if known) A1 5,950,173	Cite No.1 Number Kind Code² (if known) A1 5,950,173 PERKOWSKI	U.S. Patent Document

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant		
		Office ³	Number⁴	Kind Code⁵ (if known)	Applicant of Cited Documents	MM-DD-YYYY	Passages or Relevant Figures Appear	T ₆	
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ₆		
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Examiner	Date	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.